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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Frederick F. Becker, et al.

Serial No.: 09/883,112

Filed: June 14, 2001

For: DIELECTRICALLY-ENGINEERED  
MICROPARTICLES

Group Art Unit: 1741

Examiner: Unknown

Atty. Dkt. No.: UTXC:626US/MCB

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

December 5, 2001

Date

*Michael C. Barrett*

Michael C. Barrett

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated August 20, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Frederick F. Becker, Peter R.C. Gascoyne, Jody Vykoukal, and Xiaobo Wang;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;

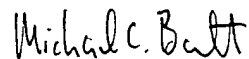
- (c) Our check in the amount of \$896.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00); additional claims fee (\$261.00); two month extension of time fee (\$200.00);
- (d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted; and
- (e) A Request for Extension of Time of two months to and including December 20, 2001. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10023301/MCB.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

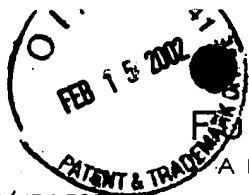
Respectfully submitted,



Michael C. Barrett  
Reg. No. 44,523  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: December 5, 2001



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WRITER'S DIRECT DIAL NUMBER:  
512/536-3018

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FILE: UTXC:626US

## CERTIFICATE OF MAILING 37 C.F.R. 1.8

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*Michael C. Barrett*  
Michael C. Barrett

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Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application No. 09/883,112 entitled "DIELECTRICALLY-ENGINEERED MICROPARTICLES" – by Frederick F. Becker, et al. (Client reference: MDA99-043)*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed August 20, 2001;
- (2) Declaration of Frederick F. Becker, Peter R.C. Gascoyne, Jody Vykoukal, and Xiaobo Wang;
- (3) Power of Attorney;
- (4) Request for Extension of Time;
- (5) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (6) Check in the amount of \$896.00; and
- (7) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or

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December 5, 2001  
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should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10023301/MCB.

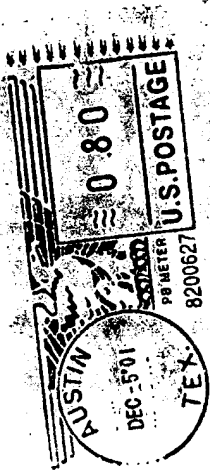
Respectfully submitted,

*Michael C. Barrett*

Michael C. Barrett  
Reg. No. 44,523

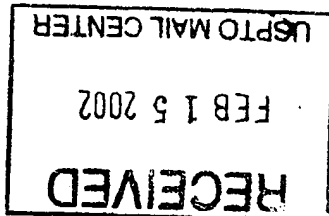
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Encl: as noted



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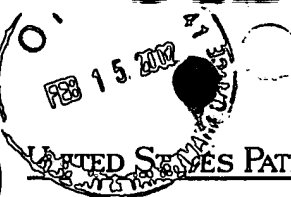
<input type="checkbox"/> New Application For:	Priority Date:
<input type="checkbox"/> Foreign priority already claimed	
<input type="checkbox"/> Continuation <input type="checkbox"/> CIP	<input type="checkbox"/> Divisional CPA <input type="checkbox"/>
<input type="checkbox"/> Specification: Pages	<input type="checkbox"/> Drawings: Sheets
<input type="checkbox"/> Response to Office Action Dated:	<input type="checkbox"/> Final Rejection
<input checked="" type="checkbox"/> Other: Response to Notice of MPS; Decl.; POA; Req. for Extension of Time; copy of Notice of MPS; check for \$896.00; transmittal letter; return postcard.	
<input type="checkbox"/> Assignment Enclosed	<input checked="" type="checkbox"/> Cert. of Timely Mailing <input type="checkbox"/> Exp. Mail:

**IDENTIFICATION OF APPLICATION**

Serial No.: 09/883,112	DATE
Title: DIELECTRICALLY-ENGINEERED MICROPARTICLES	VC40
Applicant: Frederick F. Becker, et al.	Attorney: MCB
Client: U.T. M.D. Anderson Cancer Center	F&J File No.: UTXC:626US
Mailed: December 5, 2001	Filed: Due Date:



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,112	06/14/2001	Frederick F. Becker	UTXC:626US 10023301

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AUSTIN, TX 78701

CONFIRMATION NO. 7970

## FORMALITIES LETTER

\*OC000000006450614\*

Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$255.
  - \$135 for 15 total claims over 20.
  - \$120 for 3 independent claims over 3 .
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 675.

02/21/2002 HABDI1 00000056 09883112

01 FD:201	370.00 GP
02 FD:202	125.00 GP
03 FD:203	135.00 GP
04 FD:205	65.00 GP

*A copy of this notice **MUST** be returned with the reply.*

*Thane*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

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